

# Procurement Policy

Any procurement activity of DIALUNOX must be conducted in alignment with this policy as well as with all applicable and local laws and regulations of countries where DIALUNOX does business.

Purpose of the Procurement Policy is to ensure that all employees, especially employees in the Procurement organization, as well as DIALUNOX Suppliers understand these guidelines and comply with them.

## 1. VISION

Procurement is the acquisition of appropriate goods and/ or services at the best possible total cost of ownership to meet the needs of the purchaser in terms of quality, quantity, time, and location.

Our vision is to transition DIALUNOX's procurement towards leading practices by implementing global best-in-class procurement methods and standards in terms of processes and governance.

DIALUNOX's procurement organization and its employees will support, help to further develop and push ahead DIALUNOX's aims for independence, fairness and sincere business practice.

## 2. PROCUREMENT BUSINESS CONDUCT

In alignment with DIALUNOX's Compliance Program (especially with DIALUNOX's Corporate Code of Conduct and Ethics) every DIALUNOX employee must conduct themselves honestly, fairly, and objectively in all business relationships with suppliers and all others with whom DIALUNOX maintains business relationships.

Each employee should act in the best interests of the Company to the exclusion of personal advantage. Any procurement activity must be conducted in alignment with all applicable laws and regulations of countries where DIALUNOX does business with.

For more detailed information or in case of uncertainty please refer to DIALUNOX's Corporate Code of Conduct and Ethics (see also QIAGEN's Compliance Webpage (QIANet)).

### 2.1 DIALUNOX Procurement Code of Ethics

This section is to be seen as an addition to the existing Corporate Code of Conduct and Ethics and does not replace it. No purchases may be made by a DIALUNOX employee if the purchased goods or services are for his/ her direct use and/or benefit.

In any case of business relations with its suppliers DIALUNOX will:

- Compete with integrity.
- Treat all business partners (e. g. employees, and suppliers) honestly, fairly and objectively.
- Avoid any unfair or deceptive practice

DIALUNOX expects a fair competition and the compliance with law and regulations and DIALUNOX's policies. DIALUNOX will select their suppliers strictly on merit. DIALUNOX is committed to providing safe and healthy work environments and to being an environmentally responsible corporate citizen. DIALUNOX upholds the policy to comply with all applicable environmental, safety and health laws and regulations, and does expect its suppliers to adhere to the same commitments.

### 2.2 Business Meals and Entertainment

We refer to the guidelines described in the Corporate Code of Conduct and Ethics under 3. A. Giving Gifts and 3. B. Receiving Gifts as well as in the Conflict of Interest Policy in the paragraph Acceptance of gifts and favors.

The following applies in particular: As to invitations DIALUNOX employees have to obtain approval or decline any invitation to a business meal worth more than US\$ 125 (or the corresponding amount in local currency). Invitations to entertainment events (e.g. sports or theatre) or "all-expense paid" trips should be avoided unless explicitly approved ahead of time by the Head of Legal and Compliance. If approved by the Head of Legal and Compliance, to keep and support the independence, DIALUNOX will reimburse related travel expenses as well as separately identifiable costs relating to an invitation to an entertainment event within the guidelines of DIALUNOX's travel expenses. Personal guests (i.e. spouses, relatives, life companions, etc.) shall not attend any entertainment events including dinners unless also explicitly approved by the Head of Legal and Compliance. For employees of subsidiaries of DIALUNOX Deutschland Holding GmbH: Invitations to business meals, entertainments or "all-expense paid" trips having a value of more than € 90 require approval of the Head of Legal and Compliance. If in certain circumstances it is not possible to ask for prior approval of an invitation or to exactly determine the value in advance, DIALUNOX employees should use their reasonable discretion to assess if the value of the invitation will be in the range of US\$ 125 (or € 90) or beyond that threshold and if the value is commensurate with the circumstances. For the purpose of measuring value, the limits apply to each

person separately, but the value of a function will be aggregated (for example: beverage, dinner and theatre on the same evening are considered a single function). In case of doubt, DIALUNOX employees should (a) inform the business contact that the value of the invitation appears to be outside the scope of the DIALUNOX policies and should suggest to choose a place with more reasonable prices or (b) pay for their own meal.

## 2.3 Transportation Costs

Please refer to DIALUNOX's corporate and/or local Travel Policy for detailed guidance.

## 2.4 Gifts and Gratuities

We also refer to the guidelines described in the Corporate Code of Conduct and Ethics under 3. A. Giving Gifts and 3. B. Receiving Gifts as well as in the Conflict of Interest Policy in the paragraph Acceptance of gifts and favors.

The following applies in particular: Pursuant to DIALUNOX's Code of Conduct, gifts, favors, entertainment or other inducements may not be accepted by employees or members of their immediate families from any persons or organization that does or seeks to do business with, or is a competitor of the Company, except as common courtesies usually associated with customary business practice. As to physical items, the acceptance of anything of a promotional nature and a token value, such as pens, calendars, ball caps or pads of paper is considered as customary business practice. If the gift is of more than a token value (for employees of subsidiaries of Qiagen Deutschland Holding GmbH: gifts having a value of more than € 10) the Head of Legal and Compliance must approve its acceptance.

## 2.5 Soliciting Contributions from Suppliers

Employees must not solicit any donation or contribution from a supplier. Suppliers should never be allowed to fund or purchase tickets to DIALUNOX events. In case it is considered appropriate for a supplier to participate in a DIALUNOX event, the supplier shall only participate as a non-paying guest.

## 2.6 Conflict of Interest

Each employee has a duty to be free of relationships, activities or interests which conflict with, or appear to conflict with, the business interests of the company. It is essential that suppliers competing for DIALUNOX's business have confidence in the integrity of DIALUNOX's supplier selection process.

If a supplier or supplier representative is a known close personal friend or relative of any DIALUNOX employee responsible for source selection or fair value determination, the DIALUNOX employee should immediately resign from the specific assignment.

In addition, DIALUNOX will not accept known former DIALUNOX employees as suppliers or suppliers' representatives for a period of one year after their employment with DIALUNOX has ended if one of the following criteria is met:

- The former DIALUNOX employee is or might be in a position of negotiating directly with DIALUNOX on issues pertaining to source selection or value determination.
- The former employee is or might be in a position to influence at the supplier.
- The former employee has a relationship with the decision-makers responsible for supplier selection or value determination.
- The former employee had access to significant, sensitive or confidential information related to the products or services being purchased which was not fully disclosed to competing suppliers.

Any event which may affect or may reasonably be deemed by others to affect a DIALUNOX employee's impartiality in any matter relevant to their procurement duties must be declared to their manager immediately.

## 2.7 Reciprocity

It is DIALUNOX's policy neither to buy nor to sell based upon reciprocity arrangements. Procurement should never change a sourcing decision based upon a supplier's purchases or lack of purchases from DIALUNOX product/ service portfolio.

## 2.8 Responsible Procurement

### 2.8.1 Scope

This standard applies to all DIALUNOX procurement activities that pertain to the delivery of goods or services.

### 2.8.2 Roles and Responsibilities

Each member of the Executive Committee is accountable for ensuring that procurement activity within their area is carried out in a manner consistent with this standard.

The *Head of Procurement* is responsible for implementing this standard.

*Global Category Leaders* are responsible for ensuring that all Procurement activity within their category is carried out in a manner consistent with this standard, and that risks are being mitigated.

*Category Managers and Category Buyers* are responsible for ensuring that they conduct their sourcing activities in a manner consistent with this standard.

Individually it is the responsibility of all employees to use established procurement processes, which have the requirements of this Standard integrated into them.

## 2.8.3 Expectations from Suppliers

### 2.8.3.1 Global standard preventing bribery, corruption and conflicts of interest

Suppliers do not offer, pay or accept bribes or permit sub-contractors or others to do so on their behalf.

This includes:

- Offering or giving – directly or indirectly money or anything else of value to any person in order to obtain or retain business or secure any other improper advantage for themselves, their organization or DIALUNOX
- Offering payments, gifts, hospitality, entertainment or anything of value if it could constitute a bribe.
- Suppliers do not allow personal or family interests to influence their independence or professional judgment.
- Suppliers have financial controls in place to prevent conflicts of interest affecting procurement and financial decision making.

### 2.8.3.2 Non-discrimination and fair treatment

- Suppliers provide a workplace in which any form of harassment or discrimination is unacceptable.
- There is no restriction in recruitment, development and promotion based on race, gender, color, age, religion, sexual orientation, ethnic belonging, disability, political affiliation, union membership or marital status.

### 2.8.3.3 Labor

- Suppliers will not use child labor. The minimum age for employment is 15 years of age (or 14 in accordance with developing country exceptions

under ILO (International Labor Organization) Convention no. 138). If local minimum age law stipulates a higher age for work or mandatory schooling, the higher age applies. Young workers under 18 shall not be employed at night or in hazardous conditions.

- Freely Chosen Employment: Suppliers do not use forced, bonded or indentured labor or involuntary prison labor.

### 2.8.3.4 Wages, benefits and working hours

- Suppliers pay employees according to applicable wage laws, including minimum wages, overtime hours and mandated benefits.
- Suppliers communicate with the employee the basis on which they are being compensated in a timely manner.
- Suppliers are expected to communicate with the employee whether overtime is required and the wages to be paid for such overtime.
- Working hours comply with national laws and benchmark industry standards whichever affords greater protection.

### 2.8.3.5 Research and Development ethics

Suppliers involved in the use or supply of human embryonic stem cells, genetic information, human biological sample or genetically modified microorganisms comply with the below:

- *Animal Research and Welfare:*

Suppliers comply with animal research and welfare requirements as stated in CIOMS (Council for International Organizations of Medical Sciences) - International Guiding Principles for Biomedical Research Involving Animals

- *Clinical Trials:*

Suppliers undertaking Clinical Trials ensure that trials are conducted in a manner compliant with the local ethics committee requirements. Suppliers ensure that they comply with the Advamed and MedTech Codes of Ethics, local laws, regulations and applicable codes of marketing practice in connection with interactions with Healthcare Professionals (HCP's).

### 2.8.3.6 Freedom of Association

- Open communication and direct engagement with employees to resolve workplace and compensation

issues is encouraged.

- Suppliers respect the rights of employees, as defined in local laws, to associate freely, join or not join labor unions, seek representation and join employees' councils.
- Employees are able to communicate openly with management regarding working conditions without threat of reprisal, intimidation or harassment.

#### 2.8.3.7 Safety, health and the environment

A senior management representative is accountable for the implementation of a SHE management system that:

- Significant risks to safety, health and the environment are identified, assessed and controlled.
- Emergency planning and response procedures are in place.
- People are protected from unhealthy exposure to physical, psychological, chemical and biological hazards.
- Information relating to SHE risks, chemicals and other hazardous materials, including pharmaceutical materials are available and used to manage risks.

#### 2.8.3.8 Environmental protection and conservation

- Business activities are managed in a way that, as far as practical, avoids the use of hazardous materials, conserves energy and other natural resources and minimizes the generation of waste through avoidance, reuse and/ or recycling.
- Any emissions to air, water and land and the movement of hazardous materials must be in compliance with laws and regulations and controlled or treated to the extent necessary to eliminate, or otherwise minimize the risk of, adverse effects on human health or the environment.

#### 2.8.3.9 Fair trade and competition

- Suppliers comply with trade regulations and restrictions of recognized national and international authorities.
- Suppliers only seek competitive advantage through lawful means and will conduct their business consistent with fair competition principles.
- Suppliers only engage in dialogue with competitors

when there is a legitimate business reason to do so, and the dialogue is such that it will not restrict competition (e.g. is limited to public or noncommercial information).

- If a supplier has a dominant market position, it will not abuse that position to exclude competitors or exploit customers.

#### 2.8.3.10 Protecting company and personal data

- Suppliers shall comply in all aspects of applicable data protection legislation including but not limited to the General Data Protection Regulation ((EU) 2016/679) (GDPR).
- Suppliers are transparent in their collection, use and retention of their own personal data. Further, they should only collect as much as is needed to accomplish their legitimate business purposes.
- Suppliers only share personal and confidential information with third parties if they have a legitimate need to do so and only where adequate safeguards are in place to protect that information in transit and whilst in the hands of the receiving parties.
- Suppliers assess the policies and controls in place at the third parties with whom they engage to ensure that they are suitable to protect confidential and personal information.

#### 2.8.3.11 Risk management and security controls

- Suppliers ensure that effective security controls (both technological and physical) are applied to all personal information within their control to ensure the confidentiality of such information and the privacy of affected individuals. Information is protected and kept secure at all times from unauthorized use, damage, disclosure, diversion or removal, whether through accident, improper act or breach of trust.
- Suppliers ensure that Cybersecurity regulatory requirements per international and local laws and regulation are observed.

#### 2.8.3.12 Conflict Minerals

- Suppliers shall report to DIALUNOX if any supplied materials include so called Conflict Minerals (currently encompasses tantalum, tin, tungsten (or their ores) and gold) which were sourced from mines located in the conflict zones of the Democratic Republic of Congo (DRC) and its adjoining countries.

#### 2.8.3.13 Trade controls

- Suppliers shall comply with international trade laws and regulations, focusing on import restrictions and United Nations embargoes.

- Suppliers shall ensure screening of purchase contracts and purchase orders against the most recent and applicable sanctioned-party-lists.

### 3. APPROVAL THRESHOLDS

According to the contract value only appropriate signoff authorities are allowed to sign contracts.

Please, ensure with your counterpart that the DIALUNOX employee is authorized to conclude business on behalf of DIALUNOX.